LAKESIDE CONSULTANTS PTY LTD

Client Profile – Summary Overview

GUARDIAN Financial Planning

This is an important and confidential document. The information will enable us to provide the most accurate recommendations for your personal needs.

Lakeside Adviser Name		**Adviser Number**	(03) 9510 0788
	Your details	**Spouse/Partner details**	
Full Name			
Residential Address			
Contact Numbers	(bh) (ah) (mob)	(bh) (ah) (mob)	
Email Address			
Date of Birth			
Marital Status			
Occupation			
Employment Basis			
Employer			
Annual Income (inc. bonus)	$	$	
Super Contributions	$	$	
Smoker			
Expected Retirement Age			
Retirement Income required	$ p.a.		
Status of Health			

Children/Dependant Names	**D.O.B.**	**Current age**	**Expected to be dependent until what age?**
Estate Planning	**Yourself**	**Your Spouse**	**Date Last Reviewed**
Do you have a Will?			
Does it allow for a Testamentary Trust?			
Do you have a Power of Attorney?			

Assets	**Value**	**Owner**	**Debts**	**Balance**	**Bank**	**Fixed or Variable**	**Rate (%)**	**Monthly Payment**
Residential Home	$		Home	$				$
Investment Property/s 1) 2) 3)	 $ $ $		Investment Property/s: 1) 2) 3)	 $ $ $				 $ $ $
Shares	$		Shares	$				$
Managed Funds	$ $		Managed Funds	$ $				$ $
Business Value	$		Business Debt	$				$
Cash	$		Credit Card	$ $				$ $
Home Contents	$							
Motor Vehicles	$ $		Motor Vehicles	$ $				$ $
Superannuation: 1. Fund: 2. Fund:	 $ $		General Living Expenses					$
Other	$		Other	$				$
TOTAL ASSETS	**$**		**TOTAL DEBTS**	**$**				$

Existing Advisers	Contact Name	Firm Name & Location	Phone Number
Accountant			
Solicitor			
Financial Planner			

Insurance	Yes/No	Amount of Cover	Insurer	Policy Owner
Term Life		$		
Total Permanent Disability		$		
Trauma		$		
Term Life with TPD		$		
Income Protection		$		
Health Insurance		N/A		

In the event of :-	Your Death	Spouse Death
What annual income would you OR your family require to maintain current lifestyle?	$	$
Which assets would you like to retain (excepting the family home)? E.g. holiday house		

Time Frame	Planned Expenditure & Financial Goals (buy new car, holiday, renovations, etc.)
Short-term (1 – 3 years)	
Medium-term (3 – 5 years)	
Long-term (5 years +)	

What are your main reasons for seeking advice?

- ☐ Review Insurance
- ☐ Property purchase/Refinance
- ☐ Consolidate Debts
- ☐ Review Superannuation
- ☐ Tax minimisation strategies
- ☐ Estate planning/Wills
- ☐ Partnership agreements/Succession planning
- ☐ Review Lending structure
- ☐ Review Company & Trust structures
- ☐ Lump Sum Investment
- ☐ Surplus Cash Flow
- ☐ Review existing investment portfolio
- ☐ Plan for Retirement
- ☐ Maximise Income
- ☐ Share Portfolio Advice
- ☐ Social Security Benefits
- ☐ Accumulate Wealth
- ☐ Other – please specify:

Rate the following independently on a Scale of 1 – 10 ***(1 is of Low concern, 10 of High concern)***

Concerns	Priority	Concerns	Priority
Security of Capital Concern about losing capital as a result of fluctuations in the value of your assets		*Ease of Withdrawal* Ready access to your funds.	
Wealth Accumulation A priority to maximise the returns from your investments.		*Comfort* Trust in reputation and service provided by Adviser and Investment companies.	
Income Your portfolio must produce an immediate income stream.		*Simplicity* Easy to understand financial solutions.	
Tax Efficiency Concern about the amount of tax you pay.		*Communication* To be kept well informed about your investments.	

Financial Services Guide and Adviser Profile - I/We acknowledge receiving, reading and understanding Guardian's Financial Services Guide. I/We acknowledge receiving a copy of the official Adviser Profile

Client Statement - I/We declare that the information set out in this form is correct to the best of my/our knowledge and agree to Guardian FP collecting, using and disclosing my/our personal information in accordance with the Privacy Act

Client 1. Signature: ____________________

Client 2. Signature: _____________________

Adviser Signature: _____________________

Date: ______ / _________ / _________